

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

sector
Docket No.
HIR-139

In Re Application Of: KATAYAMA et al.

Serial No.
09/931,193

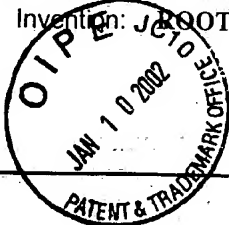
Filing Date
08/15/2001

Examiner

Group Art Unit

Invention: **ROOTS-INDUCING AGENT OF PLANTS AND ITS TREATMENT METHOD**

**COPY OF PAPERS
ORIGINALLY FILED**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
09/28/2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

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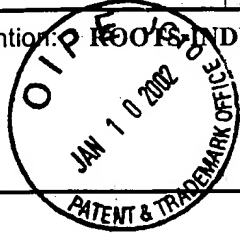
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☒ Completion of application fees as calculated below:

☒ Utility application filing fee

\$740.00

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

\$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$870.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month

☐ Two months

☐ Three months

☐ Four months

☐ Five months

from: _____
Date

until: _____
Date

Total time extension fees

Total fees due **\$870.00**

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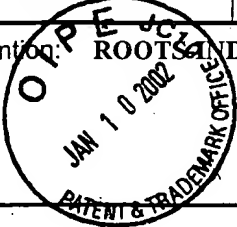
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The fee of **\$870.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **12-2147**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **12-2147**
A duplicate copy of this sheet is enclosed.

Signature

**Anthony M. Lorusso Reg. No.: 25,059
Lorusso & Loud
440 Commercial Street
Boston, MA 02109**

**Phone: 617-227-0700
Fax: 617-723-4609**

Dated: 11/27/2001

I certify that this document and fee is being deposited on **11/27/2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marc A. Vivenzio

Typed or Printed Name of Person Mailing Correspondence

cc:

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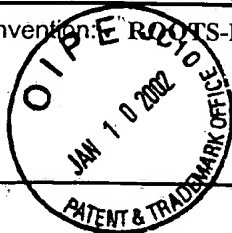
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- The statutory basic filing fee is not applicable and, under 37 C.F.R. 1.200, may make a petition available for 37 C.F.R. 1.27.
- The oath or declaration is unsigned.
- To avoid the additional \$150 fee for a small entity, the fee of \$870 for a large entity must be paid.
- The balance due by applicant is \$0.

Signature

Dated: **11/27/2001**

Anthony M. Lorusso Reg. No.: **25,059**
Lorusso & Loud
440 Commercial Street
Boston, MA 02109

Ph ne: **617-227-0700**
Fax: **617-723-4609**

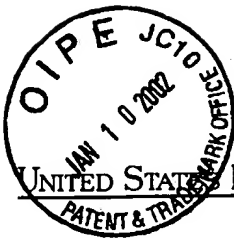
I certify that this document and fee is being deposited on **11/27/2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marc A. Vivenzi

Typed or Printed Name of Person Mailing Correspondence

CC:



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Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,193	08/15/2001	Masato Katayama	HIR-139

CONFIRMATION NO. 1385

FORMALITIES LETTER



OC000000006810830

Lorusso & Loud
440 Commercial Street
Boston, MA 02109

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/15/2002 HND0R1 00000069 09931193
01 FC:101
02 FC:105
740.00 DP
130.00 DP